

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
ROB A. BEUKER

Atty. Docket
PHN 17,569

Serial No.

Group Art Unit:

Filed: CONCURRENTLY

Examiner:

Title: MOTION ESTIMATION

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231



11 #15

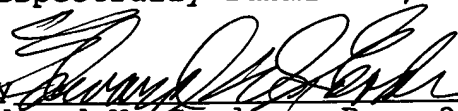
CITATION OF RELATED CASES

Sir:

Attached is a report which was made by the assignee of the above-identified patent application.

The United States patent applications and issued patents identified in this report may be relevant to the examination of the above-identified patent application inasmuch as they have been identified by an automated search of the assignee's patent portfolio files as having common inventors with and/or subject matter which is classified by the assignee in the same technological field as the above-identified patent application. However, citation of this report is neither an admission that any document noted therein is prior art to the above-identified patent application nor a waiver of the confidential status of any listed patent application under 35 U.S.C. 122.

Respectfully submitted,


By Edward W. Goodman, Reg. 28,613
Attorney
(914) 333-9611

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Related Cases/Technology Report for PHN 17569

BEUKER, ROB A.

Docket No.: PHN 17569
Patent No.:
OS Codes : TV 4300
Title : MOTION ESTIMATION.

Attorney: GOODMAN
Serial No.:

1989 U.S. PRO

09/624522



07/24/00

Common Inventors

SHAH, IMRAN A.
BEUKER, ROB A.

Docket No.: PHN 13764
Patent No.: 5239377
OS Codes : DV1000
Title : DEVICE FOR SPLITTING A DIGITAL INTERLACED
INTO COMPONENTS.

Attorney: GOODMAN
Serial No.: 07/898775

BEUKER, ROB A.
SHAH, IMRAN A.

Docket No.: PHN 14152
Patent No.: 5416644
OS Codes : DV1000 VR0100
Title : DEVICE FOR PROCESSING AN INTERLACED FRAME SIGNAL
ON A TELEVISION DISPLAY WHEN THE SIGNAL IS

Attorney: GOODMAN
Serial No.: 08/097644

BEUKER, ROB A.
THEUNIS, HENDRIK G.
HEUSDENS, RICHARD

Docket No.: PHN 15521
Patent No.:
OS Codes : DV1000
Title : METHOD OF ENCODING VIDEO IMAGES.

Attorney: GATHMAN
Serial No.: 08/860105

KALKER, ANTONIUS A.
BEUKER, ROB A.
THEUNIS, HENDRIK G.

Docket No.: PHN 15889
Patent No.: 5999655
OS Codes : DV1000
Title : TRANSMISSION AND RECEPTION OF ENCODED VIDEO

Attorney: GATHMAN
Serial No.: 08/891605

Related Technology

BOLAND, LIZA
JANSSEN, JOHAN

Docket No.: US 000009P
Patent No.:
OS Codes : TV 4300
Title : VIDEO INTERPOLATION AND DECIMATION USING A
VARIABLE DELAY FILTER, AND COMBINED WITH A

Attorney: GATHMAN
Serial No.:

HE, HAIYAN
HENTSCHEL, CHRISTIAN

Docket No.: US 000057
Patent No.:
OS Codes : TV 4300
Title : SUBJECTIVE NOISE MEASUREMENT ON ACTIVE VIDEO

Attorney: GATHMAN
Serial No.: 09/519548

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**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

PtIN. 17569
US

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

99202532.0

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN
THE HAGUE,
LA HAYE, LE

22/05/00

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**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

**Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation**

Anmeldung Nr.:
Application no.:
Demande n°: **99202532.0**

Anmeldetag:
Date of filing: **02/08/99**
Date de dépôt:

Anmelder:
Applicant(s):
Demandeur(s):
Koninklijke Philips Electronics N.V.
5621 BA Eindhoven
NETHERLANDS

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
Motion estimation

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

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Date:
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Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:

H04N7/36

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE
Etats contractants désignés lors du dépôt:

Bemerkungen:
Remarks:
Remarques:

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Motion estimation.

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The invention relates to a method and device for motion estimation, a motion-compensated picture signal processing device comprising such a motion estimation device, and a picture display apparatus comprising such a motion-compensated picture signal processing device.

5

A prior art motion estimation technique, called "3-D Recursive Search", has been described by Gerard de Haan and Robert Jan Schutten, "Real-time 2-3 pull-down elimination applying motion estimation/compensation in a programmable device", IEEE Transactions on Consumer Electronics, Vol. 44, No. 3, August 1988, pp. 930-938. 3-D Recursive Search falls in the class of pixel- or block-recursive motion estimators. The algorithm is based in the following assumptions: motion does not change much in time, i.e. from frame to frame. The algorithm maintains a motion field and tries to update this field only when necessary. The motion field is usually similar for a relatively large region, i.e. for an object. Therefore the motion vectors in the neighborhood of a location are good candidates for the motion in that location. Video consists of a sequence of frames. Each frame is divided into blocks, e.g. of 16x16 pixels. A motion vector is associated with each block. The motion vector should hold the displacement between the block in the current frame compared to the previous frame. Suppose that we want to update the motion vector of block (x,y) in the current frame. 3-D Recursive Search uses only a limited number of candidate vectors, say five, for the estimation, viz. some vectors from the previous frame, i.e. temporal vectors, some vectors from the current frame, i.e. spatial vectors, and an update of a spatial vector. For each candidate the motion estimation error is calculated. The candidate with the lowest motion estimation error is chosen as the best motion vector for that block. The algorithm uses the normal raster scan order to go through the blocks.

25

WO-A-97/46,022 discloses a method of estimating motion vectors, in which motion parameters are determined for a given field of a video signal, and motion vectors for a subsequent field of the video signal are determined in dependence upon at least one predetermined motion vector (i.e. a motion vector already estimated for a spatio-temporally neighboring block) and at least one additional motion vector derived from the motion

parameters. The motion parameters for the given field may be derived from motion vectors determined for the given field, e.g. by applying a two-dimensional histogram operation on the motion vectors determined for the given field.

5 It is, inter alia, an object of the invention to provide an improved motion estimation technique. To this end, the invention provides a motion estimation method and device, a motion-compensated picture signal processing apparatus, and a picture display apparatus as defined in the independent claims. Advantageous embodiments are defined in the dependent claims.

10 In a motion vector estimation method in accordance with a primary aspect of the present invention, a block-based motion vector estimation process is carried out to determine block-based motion vectors, at least a most frequently occurring block-based motion vector is determined, a global motion vector estimation process using at least the most frequently occurring block-based motion vector is carried out to obtain a global motion vector,
15 and the global motion vector is applied to the block-based motion vector estimation process.

These and other aspects of the invention will be apparent from and elucidated with reference to the embodiments described hereinafter.

The drawing shows a functional block diagram of an embodiment of a motion-
20 compensated picture display apparatus in accordance with the present invention.

When using 3-D Recursive Search for global motion estimation, we have as main task: how to obtain one motion vector from all the motion vectors? Our approach is based on the following steps:

- 25 1. The global motion vector is defined as the most used motion vector in appropriate blocks. We also use the second-most used motion vector. A block is appropriate if the motion estimation error is small enough and the block contains enough detail.
2. Make the motion field smooth by introducing a global motion vector candidate.

30 In fact, we use two motion estimators, a normal motion estimator that is using the global motion vector and a global motion estimator. First we will describe how we extract a global motion from the motion field. We will describe the properties of each motion estimator in the next sections. Finally, it is described how both motion fields are used to build the global motion estimator.

Why do we need two motion vectors? We use the normal motion estimator to track the changes. From the associated motion field we cannot obtain the correct global motion, but only candidate global motion vectors. We use a global motion estimator, still using the 3-D Recursive Search concept, for selecting the best global motion vector. We cannot solely use this global motion estimator because it is not capable of tracking changes.

The global motion is extracted from the motion field in two steps: count for all "appropriate" blocks the number of times that a motion vector is used, and obtain from these counts the most and second-most used motion vectors.

A block is "appropriate" if the motion estimation error is small enough (average SAD smaller than 30), and the block contains enough activity (activity larger than 50) where the activity per block is defined as: $\text{activity} = \max_{(i,j)}(y(i,j)) - \min_{(i,j)}(y(i,j))$. We remove the blocks with a low activity, because the motion estimation is not reliable for blocks without detail. Currently, we use the Sum-of-Absolute-Difference measure (SAD) for the displacement error. Let $y[i,j]$ and $y_{\text{prev}}[i,j]$ denote the pixel values of the current frame and previous frame, respectively. The Sum-of-Absolute-Difference measure is calculated by:

$$SAD = \sum_{(i,j)} |y(i,j) - y_{\text{prev}}(i + MV_x, j + MV_y)|,$$

where (MV_x, MV_y) is the candidate motion vector and the summation is over the block. The SAD is set to "0" if it is smaller than a threshold to remove the influence of fixed pattern noise.

We also use the second-most used motion vector to improve the robustness of the algorithm. We found that sometimes the algorithm will favor the zero-motion vector, whereas there is some camera panning. Supplying the global motion estimator with both vectors solves this situation.

The 3-D Recursive Search estimator uses the following 6 candidates:

1. The most-used global motion vector (which is also used as best global motion vector).
2. The spatial vector of block $(x-1, y-1)$ (upper left).
3. The spatial vector of block $(x+1, y-1)$ (upper right).
4. The temporal vector of the current block.
5. The temporal vector of block $(x, y+1)$ (lower).
6. An update of the spatial vector of block $(x-1, y-1)$ if x is even and of block $(x+1, y-1)$ if x is odd.

The update is obtained as follows. The update vector is the sum of the spatial vector and a delta vector. The delta vector (dx, dy) is read from a list of 16 possible delta vectors. The list of sixteen possible delta vectors is given in the next table.

5

j	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
dx	0	0	0	0	4	-4	12	-12	0	0	1	-1	0	0	2	-2
dy	4	-4	8	-8	0	0	0	0	1	-1	0	0	2	-2	0	0

The table shows that the maximum update per vector is 12 pixels horizontally and 8 pixels vertically.

How do we select a delta vector? We simply use the next delta vector in the list for the next block and start with delta vector 0. Suppose that element j is used for block (x,y), then we use element j+1 for the next block (i.e. block (x+1,y)) and we use delta element "0" if j+1 equals sixteen.

10

Each candidate is checked to see whether the resulting address is valid, i.e. points to an area within the frame. If not, the vector is clipped to the nearest valid motion vector.

15

The next table shows the penalties for each vector type. Penalties are added to block matching errors (SAD) in order to favor certain candidate motion vectors over other candidate motion vectors in order to smoothen the motion field.

Vector type	Penalty (per block)
Global motion vector	3
Spatial vector	0
Temporal vector current block	0
Temporal vector lower block	8
Update vector	32

20

The global motion estimator uses the following four candidates:

1. Most-used motion vector obtained by the block-based 3-D Recursive Search estimator.

2. Second-most used motion vector obtained by the block-based 3-D Recursive Search estimator.

25

3. Cyclically varying updates of the motion vector mentioned at 1 (see above).

4. Cyclically varying updates of the motion vector mentioned at 2 (see above).

On a block basis, the global estimator determines which of the four candidates is the best one. From these best candidates determined on a block basis, the most-frequently occurring one is retained.

5 The penalties for each type are:

Vector type	Penalty (per block)
Global motion vector	0 or 1
Update of global motion vector	32

The penalty for the global motion candidate is 1 if the motion vector is zero and 0 otherwise. This may be simplified to "0" only without losing accuracy.

The full global motion estimator uses the following steps per frame:

- 10 1. Get the best global motion vector from the global motion estimation.
2. Use this vector for the 6-candidate 3-D Recursive Search motion estimation.
3. Extract the most used and second-most used global motion vectors from the resulting motion field.
4. Use these motion vectors in the global motion estimation, i.e. in the four-
15 candidate motion estimation.
5. The global motion is extracted from the resulting motion field and used in step
1.

To reduce the CPU load of the algorithm, the number of motion estimation
20 blocks is reduced by sub-sampling. Since we only require one motion vector per frame, the global motion vector, we do not need to calculate a motion vector for each block, so that the number of used blocks can be sub-sampled. We currently use a sub-sampling factor of two horizontally and two vertically. Note that we may be able to use a factor of four for the global motion estimation, if necessary. The sub-sampling factor is limited for the following reasons:
25 A too high sub-sampling factor reduces the probability that there are "appropriate candidates" (blocks with a small motion estimation error and a sufficiently high activity). Moreover, using too few blocks will reduce the smoothness of the motion field. In addition, it is possible to apply sub-sampling within a block to reduce the number of pixels.

Using processor-specific features, such as MMX, also helps in speeding up the
30 computation. Also, the time spend in the SAD calculation can in principle be reduced by using cross correlation.

To improve the global motion estimation algorithm, the following measures are possible. Retain not only the most used and second-most used global motion vectors, but also less frequently used motion vectors. Use only the central part of the current frame for motion estimation, e.g. a quarter of the frame. If there is some rotation (with the middle of the frame as center of rotation), the blocks in the outer area of the frame will contain more displacement than the central part. Note that this latter measure will also reduce the computational load.

The drawing shows a functional block diagram of an embodiment of a motion-compensated picture display apparatus in accordance with the present invention. A picture signal is applied to a block-based motion vector estimator BME and to a global motion-vector estimator GME that operate as set out above. The block-based motion vector estimator BME applies a most frequently used motion vector MFMV and a second-most frequently used motion vector SMFMV to the global motion-vector estimator GME. The global motion-vector estimator GME applies a global motion vector GMV to the block-based motion vector estimator BME. The picture signal is also applied to a motion-compensated processor MCP for carrying out, e.g. a motion-compensated interpolation (say, a 100 Hz conversion) or a motion-compensated stitching of images obtained by a scanner or video camera. The motion-compensated processor is controlled by either block-based motion vectors supplied by the block-based motion vector estimator BME or global motion vectors supplied by the global motion estimator GME. A switch S symbolically indicates this choice. In practice, depending on the application, there is no switch S and the appropriate type of motion vectors is used. Global vectors will e.g. be used for stitching scanned images, while block-based vectors will e.g. be used for 100 Hz conversion. The output of the motion-compensated processor MCP is applied to a display device DD. In other applications of the invention, such as in a scanner, the output of the motion-compensated processor MCP will be printed on paper.

It should be noted that the above-mentioned embodiments illustrate rather than limit the invention, and that those skilled in the art will be able to design many alternative embodiments without departing from the scope of the appended claims. Where in the above-described examples only a most-used and a second-most used vector are used, it is an obvious generalization clearly falling within the scope of the claims to use the N most-used vectors. In the claims, any reference signs placed between parentheses shall not be construed as limiting the claim. The word "comprising" does not exclude the presence of elements or steps other than those listed in a claim. The word "a" or "an" preceding an element does not exclude the

presence of a plurality of such elements. The invention can be implemented by means of hardware comprising several distinct elements, and by means of a suitably programmed computer. In the device claim enumerating several means, several of these means can be embodied by one and the same item of hardware.

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CLAIMS:

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1. A motion vector estimation method, comprising the steps of:
carrying out a block-based motion vector estimation process (BME) to
determine block-based motion vectors;
determining at least a most frequently occurring block-based motion vector
(MFMV);
carrying out a global motion vector estimation process (GME) using at least the
most frequently occurring block-based motion vector (MFMV) to obtain a global motion
vector (GMV); and
applying the global motion vector (GMV) to the block-based motion vector
estimation process (BME).
2. A method as claimed in claim 1, wherein the determining step includes making
a selection among block-based motion vectors having a corresponding motion error below a
given motion error threshold.
3. A method as claimed in claim 1, wherein the determining step includes making
a selection among block-based motion vectors estimated for blocks having a difference
between maximum and minimum pixel values above a given activity threshold.
4. A method as claimed in claim 1, wherein both the most frequently occurring
block-based motion vector (MFMV) and a second-most frequently occurring block-based
motion vector (SMFMV) are determined and used in the global motion vector estimation
process (GME).
5. A method as claimed in claim 1, wherein said global motion vector estimation
process includes the steps of:
comparing, on a block basis, a plurality of candidate vectors including the most
frequently occurring block-based motion vector (MFMV) to obtain best vectors determined
per block;

outputting a most-frequently occurring best vector determined per block as the global motion vector (GMV).

6. A motion vector estimation device, comprising:
- 5 block-based motion vector estimation means (BME) for determining block-based motion vectors;
- means for determining at least a most frequently occurring block-based motion vector (MFMV, SMFMV);
- means (GME) for carrying out a global motion vector estimation process using
- 10 at least the most frequently occurring block-based motion vector (MFMV, SMFMV) to obtain a global motion vector; and
- means for applying the global motion vector (GMV) to the block-based motion vector estimation means (BME).
- 15 7. A motion-compensated picture signal processing apparatus, comprising:
- a motion vector estimation device as claimed in claim 6 for generating motion vectors; and
- a motion-compensated processor (MCP) for processing a picture signal in dependence on the motion vectors.
- 20 8. A picture display apparatus, comprising:
- a motion-compensated picture signal processing apparatus as claimed in claim 7 to obtain a processed picture signal; and
- a display device for displaying the processed picture signal.

ABSTRACT:

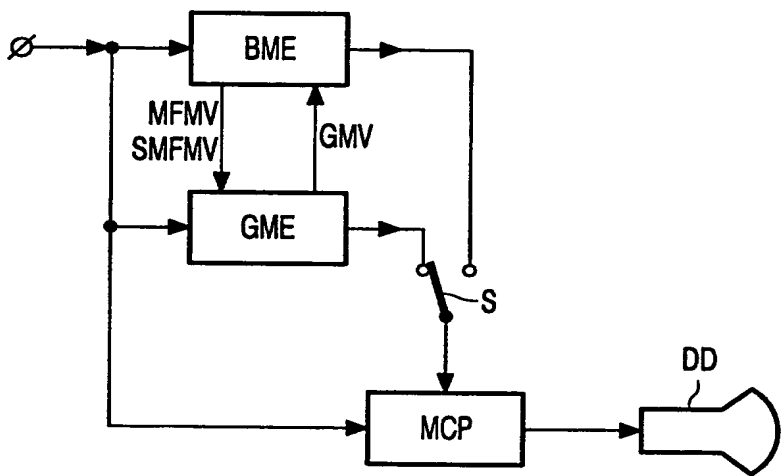
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(65)

In a motion vector estimation method, a block-based motion vector estimation process (BME) is carried out to determine block-based motion vectors, at least a most frequently occurring block-based motion vector (MFMV) is determined, a global motion vector estimation process (GME) using at least the most frequently occurring block-based motion vector (MFMV) is carried out to obtain a global motion vector (GMV), and the global motion vector (GMV) is applied to the block-based motion vector estimation process (BME).

(Fig. 1)

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